(19) World Intellectual Property Organization

International Bureau





(43) International Publication Date 31 December 2003 (31.12.2003)

PCT

(10) International Publication Number WO 2004/000134 A2

(51) International Patent Classification7:

A61B 17/11

(21) International Application Number:

PCT/US2003/011661

(22) International Filing Date: 16 April 2003 (16.04.2003)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data: 60/390,103

19 June 2002 (19.06.2002) US

(71) Applicant (for all designated States except US): TYCO HEALTHCARE GROUP, LP [US/US]; 150 Glover Avenue, Norwalk, CT 06856 (US).

(72) Inventor; and

(75) Inventor/Applicant (for US only): MANZO, Scott [US/US]; 272 East Village Road, Shelton, CT 06484 (US).

(74) Agents: PERRY, Kimberly, V. et al.; Tyco Healthcare Group, LP, 150 Glover Avenue, Norwalk, CT 06850 (US). (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

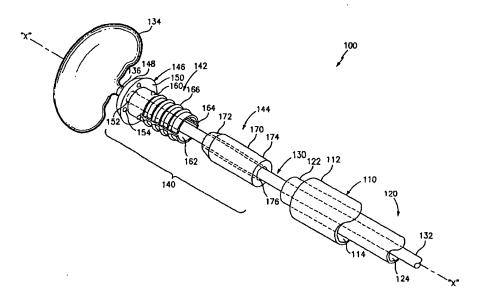
(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

 without international search report and to be republished upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: METHOD AND APPARATUS FOR ANASTOMOSIS INCLUDING ANNULAR JOINING MEMBER



(57) Abstract: Apparatus and method for performing surgical anastomotic procedures are disclosed herein. The apparatus for performing the surgical anastomotic procedure includes a tubular sleeve defining an axial lumen therethrough, a positioning tube defining an axial lumen therethrough, an expansion assembly having a tubular body and an expandable tip operatively coupled to a distal end thereof, and an anchoring assembly. The positioning tube is preferably configured and adapted to be slidably received within the axial lumen of the tubular sleeve.

METHOD AND APPARATUS FOR ANASTOMOSIS INCLUDING ANNULAR JOINING MEMBER

BACKGROUND

25

30

1. <u>Technical Field</u>

The present disclosure relates to apparatus and methods for anastomosing two hollow body parts and, more particularly to apparatus and methods for anastomosing a urethral stump of a patient to the bladder following a radical prostatectomy.

2. Background of Related Art

Anastomosis is the bringing together and/or joining of two hollow of tubular

structures. Most body conduits are generally cylindrical in configuration and have a
circular cross-section. When it is desired to suture such a conduit, typically for
attachment to another body conduit, sutures are placed around the circumference of the
conduit in order to maintain the patency of its lumen or channel. This type of attachment
is commonly referred to as an anastomosis. It can be appreciated that the sutures made on
top of the conduit (i.e., on the side facing the surgeon) in an anastomosis are made
relatively more easily than the sutures made underneath the conduit (i.e., on the side
facing away from the surgeon).

The complexity of anastomosis attachment is made manifestly apparent in a surgical procedure referred to generally as a radical prostatectomy (i.e., a well established surgical procedure for patients with localized prostatic carcinoma). In general, radical prostatectomy procedures require the removal of cancerous tissue while preserving sexual function and continence in the patient. There are two primary types of radical prostatectomy approaches for the removal of prostate cancer, the retropubic approach and the perineal approach.

In the retropubic approach, a long up-and-down incision is made in the midline of the abdomen from the navel to the pubic bone. After the lymph nodes have been removed for study by the pathologist and a determination has been made to proceed with the removal of the prostate gland, the space underneath the pubic bone is cleaned and dissected and the removal of the entire prostate gland is generally begun at the end that is

farthest from the bladder, i.e., next to the external urethral concer. Next, the prostatic urethra is divided, the prostatic urethra and the prostate gland through which it goes are then pulled upwards toward the bladder while the dissection continues behind the prostate gland, separating it from the layer of tissue that is connected to the rectum on its other side. As the dissection continues between the prostate and the rectum, the seminal vesicles, which are behind the base of the bladder will be removed along with the prostate gland. Once the seminal vesicles are free, the entire prostate gland and the seminal vesicles are removed. The bladder neck is then stitched closed to a small enough diameter so that it is about the same size as the stump of the urethra from which the prostate was detached. The bladder neck is then pulled down into the pelvis and positioned against the urethral stump and stitched thereto. This stitching is done typically around a Foley catheter which has been inserted through the penis all the way into the bladder.

10

15

25

30

In the perineal approach, an inverted "U" shaped incision is made going right over the anus, with the center of the "U" about three centimeters above the margin of the anus. The prostate gland is then freed from its surrounding structures by gentle dissection, and the urethra at the end of the prostate farthest from the bladder is isolated and divided. The bladder neck is freed from the prostate, and, once the prostate gland has been removed and the bladder neck has been closed sufficiently so that the size of its opening approximates the size of the urethral opening, the urethra and the bladder neck are stitched together. Once again, a Foley catheter is left in place postoperatively for about two weeks.

In each of the above described procedures, it is the attachment of the urethral stump to the bladder neck which is particularly difficult and complex. This difficulty is complicated by the tendency of the urethral stump to retract into adjacent tissue. As a result, considerable time and effort must be expended to re-expose the urethral stump and begin the re-anastomosis procedure. Further complicating this procedure is the fact that the urethral stump is hidden beneath the pubic bone thus requiring that the surgeon work at a difficult angle and in positions that are uncomfortable and limiting.

. .

Various devices have been proposed for facilitating this procedure. In U.S. Pat. No. 5,591,179, issued to Edelstein, there is disclosed a suturing device including a shaft with portions defining an interior channel extending between a proximal and a distal end of the shaft. This channel includes a generally axial lumen which extends to the proximal end of the shaft and a generally transverse lumen which extends from the axial lumen

distally outwardly to an complete at the outer surface of the shaft. A needle and suture can be back loaded into the transverse lumen of the channel while a generally non-compressible member can be movably mounted in the axial lumen of the channel. At the proximal end of the shaft a handle is provided with means operative to push the member distally through the lumen to deploy or expel the needle.

In U.S. Pat. No. 4,911,164, issued to Roth, there is disclosed a suture guide with a curved distal portion. The distal portion of the suture guide has a plurality of exterior axial grooves which can be used to align and guide a curved needle and attached suture. In order to drive the urethral stump to an accessible position, the device is provided with a plurality of outwardly extendable members which engage the lumen of the urethra. These members make it possible to push the urethral stump into approximation with the bladder neck.

In U.S. Pat. No. 5,047,039, issued to Avant et al., there is disclosed a surgical device for the ligation of a dorsal vein and subsequent anastomosis. This device contains a pair of enclosed needles each having an attached suture which needles may be driven from the shaft of the device into adjacent tissue.

In general, none of the devices disclosed in the prior art references above is simple to use or makes the anastomosis of the urethral stump to the bladder neck easier. As such, each surgical procedure using the prior art devices continues to be time consuming and to require great skill. Accordingly, the need exists for radical prostatectomy anastomosis devices which overcome the drawbacks of the prior art devices and which are quick and simple to use.

SUMMARY OF THE INVENTION

10

15

20

25

30

Apparatus and method for performing surgical anastomotic procedures are disclosed herein. The apparatus for performing the surgical anastomotic procedure includes a tubular sleeve defining an axial lumen therethrough, a positioning tube defining an axial lumen therethrough, an expansion assembly having a tubular body and an expandable tip operatively coupled to a distal end thereof, and an anchoring assembly. The positioning tube is preferably configured and adapted to be slidably received within the axial lumen of the tubular sleeve. The expandable tip of the expansion assembly preferably has a retracted position in which the expandable tip can pass through the axial lumen of the positioning tube and an expanded position in which the expandable tip can not pass through the axial lumen of the positioning tube. The anchoring assembly

includes a flange member having a head portion and an expeciable annular body integrally coupled to the head portion and a locking member defining a lumen therethrough. The flange member defines a passage extending through the head portion and the annular body. The locking member is preferably configured and adapted to radially deflect the expandable annular body upon insertion of the locking member within the passage of the annular body.

In a preferred embodiment of the disclosure, the expandable tip of the expansion assembly is or includes a balloon like structure which can be selectively inflated and deflated.

10

20

25

30

Preferably, the annular body of the anchoring assembly includes at least a pair of diametrically opposed longitudinal slots formed therein, wherein the annular body is expandable along the pair of longitudinal slots. It is contemplated that the longitudinal slots extend through a proximal terminal end of the annular body and terminate at a distance spaced from the head portion. In an alternative embodiment, it is envisioned that the annular body of the anchoring assembly include a plurality of longitudinal slots formed therein. In yet another alternative embodiment, it is envisioned that the annular body of the anchoring assembly include at least one helical slot extending through the terminal end of the annular body.

It is envisioned that the annular body of the anchoring assembly include a plurality of protuberances formed on an outer surface thereof. It is further envisioned that the head portion of the flange member of the anchoring assembly include a plurality of protuberances formed on a proximal surface thereof.

In one preferred embodiment of the present disclosure, it is contemplated that the annular body of the anchoring assembly has a first radius when not expanded and a second radius, larger than the first radius, when expanded. Preferably, the head portion of the flange member has a radius which is larger than the radius of the annular body.

The locking member preferably includes a cylindrical body having a distal end portion, wherein the cylindrical body has a radius which is larger than the first radius of the annular body and wherein the distal end portion of the cylindrical body tapers down to a radius which is smaller than the first radius of the annular body.

It is preferred that the head portion of the flange member of the anchoring assembly include a tapered distal surface in order to facilitate insertion and passage through a body lumen.

In accordance with the present disclosure, a proximal end of the sking member is configured and adapted to engage a distal end of the positioning tube.

In accordance with another embodiment of the present disclosure, it is envisioned that the head portion of the flange member has a radius which is larger than a radius of the lumen of the tubular sleeve. It is further contemplated that the head portion of the flange member has a radius which is smaller than an inner radius of the lumen of the tubular sleeve.

In accordance with the present disclosure it is preferred that the anchoring assembly is made from a bio-absorbable material.

10

15

20

25

30

A preferred method of performing a surgical anastomotic procedure, using the apparatus disclosed herein includes providing an apparatus as disclosed above, passing the apparatus through a body lumen and through an opening in a body vessel such that the head portion of the flange member of the anchoring assembly is positioned within the body vessel, advancing the expansion assembly through the positioning tube such that the expandable tip is within the body vessel, and expanding the expandable tip within the body vessel.

The method further includes the steps of withdrawing the tubular body of the expansion assembly to press the head portion of the flange member of the anchoring assembly against the body vessel and to approximate the body vessel within the body lumen until the annular body of the flange member of the anchoring assembly is positioned within a distal end of the body lumen, advancing the positioning tube through the tubular body to drive the locking member of the anchoring assembly into the annular body of the flange member and to deflect the annular body radially outward against the inner surface of the body lumen.

The method further includes the steps of retracting the expandable tip of the expansion assembly and withdrawing the tubular body. The method still further includes the step of positioning tube and the expansion assembly from the urethra.

It is envisioned that the surgical anastomosis is a radical prostatectomy.

Accordingly, the radical prostatectomy includes the steps of removing the prostate gland from between the urethra and the bladder to define a urethral stump and a bladder neck.

In accordance with the present disclosure, an anchoring assembly for use in a surgical anastomosis procedure is provided including a flange member having a head portion and an expandable annular body integrally coupled to the head portion, the flange member defining a passage extending through the head portion and the annular body, and

a locking mentor defining a lumen therethrough, the lock the member being configured and adapted to radially deflect the expandable annular body upon insertion of the locking member within the passage of the annular body.

It is envisioned that the annular body of the anchoring assembly comprises at least a pair of diametrically opposed longitudinal slots formed therein, wherein the annular body is expandable along the pair of longitudinal slots. The annular body of the anchoring assembly has a first radius when not expanded and a second radius, larger than the first radius, when expanded. The head portion of the flange member has a radius which is larger than the first radius of the annular body.

The locking member includes a cylindrical body having a distal end portion, wherein the cylindrical body has a radius which is larger than the first radius of the annular body and wherein the distal end portion of the cylindrical body tapers down to a radius which is smaller than the first radius of the annular body. The longitudinal slots extend through a proximal end of the annular body and terminate at a distance spaced from the head portion.

These and other advantages and features of apparatus and method disclosed herein, will become apparent through reference to the following description of embodiments, the accompanying drawings and the claims.

20 BRIEF DESCRIPTION OF THE DRAWINGS

10

15

25

The accompanying drawings, which are incorporated in and constitute a part of this specification, illustrate embodiments of the disclosure and, together with the general description given above, and the detailed description of the embodiments given below, serve to explain the principles of the present disclosure.

- FIG. 1 is an exploded perspective view of an anastomosis apparatus in accordance with the present disclosure;
 - FIG. 2 is a perspective view of the apparatus of FIG. 1 shown in an insertion condition;
- FIG. 3A is a cross-sectional side elevational view of the apparatus of FIG. 1 30 shown passing through a body lumen and positioned within a body cavity;
 - FIG. 3B is a cross-sectional side elevational view of the apparatus of FIG. 1 shown with the body lumen in apposition with the body cavity; and

WO 2004/000134

10

15

20

25

30

PCT/US2003/011661

FIG. 3C is a cross control on a side elevational view showing the prement of an anchor of the apparatus of FIG. 1 in position between the body lumen and the body cavity.

5 <u>DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS</u>

Preferred embodiments of the presently disclosed apparatus for performing an anastomosis will now be described in detail with reference to the drawing figures wherein like reference numerals identify similar or identical elements. In the drawings and in the description which follows, the term "proximal", as is traditional will refer to the end of the surgical device or instrument of the present disclosure which is closest to the operator, while the term "distal" will refer to the end of the device or instrument which is furthest from the operator.

Referring to FIGS. 1 to 3C, and initially to FIGS. 1 and 2, an anastomosis apparatus in accordance with the present disclosure, is shown generally as reference numeral 100. Although apparatus 100 offers significant advantages to a radical prostatectomy procedure, it will be understood that the device is applicable for use in any anastomotic procedure where the end of a conduit is to be sutured or otherwise secured to a hollow body organ.

As seen in FIGS. 1 and 2, apparatus 100 includes a tubular sleeve 110, a positioning tube 120 slidably receivable within tubular sleeve 110, an expansion assembly 130 slidably receivable with positioning tube 120 and an anchoring assembly 140 in operative engagement with positioning tube 120.

Tubular sleeve 110 includes a distal end 112, a proximal end (not shown) and defines an axial lumen 114 extending therethrough. Axial lumen 114 of tubular sleeve 110 defines a central longitudinal axis "X".

Positioning tube 120 includes a distal end 122, a proximal end (not shown) and defines an axial lumen 124 extending therethrough. Preferably, positioning tube 120 is configured and adapted to be received within axial lumen 114 of tubular sleeve 110. In accordance with the present disclosure, it is preferred that axial lumen 124 of positioning tube 120 is co-axial with axial lumen 114 of tubular sleeve 110, however, it is envisioned that axial lumen 124 does not have to be co-axial with axial lumen 114. In particular, it is envisioned that positioning tube 120 can define an axial lumen 124 having a longitudinal axis which is spaced a distance from the longitudinal "X" axis of tubular sleeve 110.

In accordance with a preferred embodiment of the part disclosure, expansion assembly 130 includes a hollow inflation tube 132 having an inflatable balloon 134 coupled to a distal end 136 thereof. Inflation tube 132 is preferably configured and adapted to be received within axial lumen 124 of positioning tube 120. Balloon 134 has a deflated configuration for insertion and withdrawal of expansion assembly 130 into and out of positioning tube 120 and an inflated configuration for preventing the withdrawal of expansion assembly 130 from positioning tube 120. Balloon 134 is preferably fabricated from a medical grade polymer having suitable flexibility and structural integrity to withstand the forces associated with the inflation of balloon 134 and with the function of pressing against the inner surface of a body cavity.

10

15

20

25

30

While an inflation tube 132 and an inflatable balloon 134 have been disclosed, it is envisioned that any insertion tube having an expandable member operatively coupled to a distal end thereof can be used. In particular, it is contemplated that an expandable member be provided on an end of an insertion tube, which expandable member has a first position for insertion and passage through axial lumen 124 of positioning tube 120 and a second position which is preferably at least radially larger than axial lumen 124 of positioning tube 120. Accordingly, it is envisioned that expandable member can be pneumatic and/or mechanical.

Anchoring assembly 140 includes a flange member 142 and a locking member 144. Flange member 142 includes a head portion 146 having a distal end surface 148 and a proximal end surface 150. It is envisioned that distal end surface 148 of head portion 146 can be any shape including, arcuate, angled, chamfered, conical, blunt or beveled. Head portion 146 includes a through bore 152 formed therein. Through bore 152 is configured and adapted to permit passage of inflation tube 132 therethrough. Proximal end surface 150 of head portion 146 preferably includes a plurality of protuberances 154 projecting therefrom. In a preferred embodiment, protuberances 154 take the form of a plurality of pointed shapes configured to grip, dig into or engage an inner surface of the body cavity.

It is envisioned that head portion 146 can be sized to have a dimension which is either greater than or less than the inner diameter of tubular sleeve 110. If the dimension of head portion 146 is greater than the inner diameter of tubular sleeve 110, it is envisioned that the distal end of tubular sleeve 110 abuts against proximal end surface 150 of head portion 146 in order to distally advance anchoring assembly 140 through a body lumen and into the body cavity. If the dimension of head portion 146 is less than

the inner diameter of tubular leeve 110, it is envisioned that anchoring combly 140 is completely retained within distal end 112 of tubular sleeve 110 for distal advancement of anchoring assembly 140 through the body lumen and into the body cavity.

Flange member 142 of anchoring assembly 140 further includes an annular body 160 extending from proximal end surface 150. Annular body 160 includes a central opening 162 extending therethrough. Annular body 160 includes a pair of diametrically opposed longitudinally oriented slots 164. While a pair of slots 164 has been disclosed, it is contemplated that any number of longitudinally oriented slots 164 can be formed in annular body 160. Preferably, slots 164 extend through the terminal end of annular body 160 and commence at a distance spaced from head portion 146. While slots 164 have been disclosed as being longitudinal, it is envisioned that slots 164 can angled relative to the longitudinal axis and/or helical about the longitudinal axis.

10

15

20

25

30

In accordance with a preferred embodiment of the present disclosure, annular body 160 is provided with a plurality of projections 166 formed along an outer surface thereof. It is envisioned that projections 166 may be similar to protuberances 154 formed on proximal end surface 150 of head portion 146 in order to grip, dig into and engage an inner surface of the body lumen. It is also envisioned that projections 166 can also form a series of circumferential undulations such as a series of threads, rings or ribs.

Locking member 144 includes a body portion 170 having a distal end 172, a proximal end 174 and defining a lumen 176 extending therethrough. In accordance with the present disclosure, lumen 176 of locking member 144 is configured and adapted to permit passage of inflation tube 132 therethrough. Body portion 170 has an outer diameter which is larger than an inner diameter of annular body 160. Distal end 172 of locking member 144 is angled to terminate in a distal tip which is sized to be received within central opening 162 of annular body 160, wherein distal end 172 defines a cramming surface.

Preferably, locking member 144 is placed within tubular sleeve 110 such that proximal end 174 is adjacent distal end 122 of positioning tube 120 and next at least annular body 160 of flange member 142 is placed within tubular sleeve 110, distally of locking member 144.

As will be discussed in greater detail below, as locking member 144 is advanced distally through central opening 162 of annular body 160, by a distal advancement of positioning tube 120, distal end 172 of locking member 144 will cause a proximal end of

annular body to deflect radially outward. The more length g member 144 is advanced distally the more annular body 160 deflects radially.

Various different materials may be used to fabricate flange member 142 and locking member 144 of anchoring assembly 140. In particular, anchoring assembly 140 should preferably be made of materials having acceptable properties including biocompatibility, pull strength, longitudinal and column strength and bending flexibility. Some of the preferred materials may include various plastics, referred to as polymers, including nylon, polyethylene, polyurethanes or PET. It is further contemplated that anchoring assembly 140 is made from a medical grade bio-absorbable material, such as, for example, polyglycolic acid (PGA) and/or polylactic acid (PLA). Preferably, if a bio-absorbable material is used it is preferred that anchoring assembly 140 have sufficient endurance to retain its structural integrity for at least the period required for a natural growth joining of tissue portions for an anastomotic procedure. For example, it is preferred that anchoring assembly 140 have sufficient structural integrity for at least two weeks in the anastomosis of a bladder to a urethra in a radical prostatectomy procedure.

10

15

20

25

30

A preferred method of use and operation of anastomosis apparatus 100 in performing a radical prostatectomy anastomosis will now be described in greater detail with reference to FIGS. 1-3C and in particular with reference to FIGS. 3A-3C. Apparatus 100 can be used in either the retropubic or the perineal prostatectomy approaches. With the prostate removed, the bladder neck "N" of the bladder "B" is first reconstructed by everting the inner mucosal lining of bladder "B" and suturing it down to the outer wall of bladder "B", using known surgical techniques. Likewise, urethral stump "S" of urethra "U" is reconstructed by everting the inner mucosal lining of urethral stump "S" and suturing it down to the outer wall of urethra "U", using known surgical techniques.

٠,٠

Apparatus 100 is assembled as described above. In particular, apparatus 100 is preferably assembled such that at least annular body 160 of flange member 142 of anchoring assembly 140 is inserted within distal end 112 of tubular sleeve 110, locking member 144 is inserted through tubular body 110 such that distal end 172 of locking member 144 contacts a proximal end of annular body 160 and positioning tube 120 is inserted through tubular body 110 such that distal end 122 of positioning tube 120 contacts a proximal surface of locking member 144.

With bladder neck "N" reconstructed, bladder neck "N" is sized to properly accommodate head portion 146 of flange member 142, through bladder neck "N", within bladder "B" using a standard tennis racket type closure (i.e., the opening of the bladder

neck constituting the head the tennis racket and a radial incision extending from the bladder neck constituting the handle portion of the tennis racket). Most preferably, bladder neck "N" is sized to be approximately 7-8 mm in diameter.

As seen in FIG. 3A, head portion 146 of flange member 142 is sized to be larger than the inner diameter of tubular sleeve 110. Accordingly, distal end 112 of tubular sleeve 110 will abut against proximal end surface 150 of head portion 146 during insertion.

With bladder neck "N" reconstructed, anchor assembly 140 is moved into position such that head portion 146 of flange member 142 is located within bladder "B" by advancing tubular sleeve 110 trans-urethrally through urethra "U" until distal end 112 of tubular sleeve 110, including head portion 146 of flange member 142, extends out of urethra "U" and into bladder "B", as seen in FIG. 3A. In particular, as seen in FIG. 3A, tubular sleeve 110 is advanced a distance such that head portion 146 of flange member 142 is located entirely within bladder "B". With tubular sleeve 110 and flange member 142 so positioned, expansion assembly 130 is passed through axial lumen 124 of positioning tube 120, through lumen 176 of locking member 144, through central opening 162 and through bore 152 of flange member 142 until balloon 134 is disposed within bladder "B".

10

15

20

25

30

With balloon 134 disposed within bladder "B", a fluid from a fluid source (not shown) is injected into balloon 134 through inflation tube 132 in order to inflate balloon 134. As seen in FIG. 3B, with balloon 134 inflated, tubular body 110 is partially withdrawn in order for bladder neck "N" to close down around annular body 160 and in order for protuberances 154 to engage the inner surface of bladder neck "N". Inflation tube 132 is then withdrawn in a proximal direction in order for inflated balloon 132 to contact and press against distal end 148 of flange member 142, thereby further driving protuberances 154 into bladder neck "N", and in order to approximate bladder neck "N" to urethral stump "S".

While holding inflation balloon 134 stationary, positioning tube 120 is advanced distally in order to drive locking member 144 forward. By driving locking member 144 forward, distal end 172 of locking member 144 enters central opening 162 of annular body 160. Further advancement of positioning tube 120 causes the proximal end of annular body 160 to ride or be cammed along distal end 172 to thereby deflect the proximal end of annular body 160 radially outward along longitudinally oriented slots 164 (see FIG. 3C). As the proximal end of annular body 160 gets deflected radially

outward, protubulances 166 formed on an outer surface the engage the inner surface of urethral stump "S" thereby maintaining bladder neck "N" approximated with urethral stump "S". Preferably, locking member 144 is distally advanced until distal end 172 is frictionally secured within central opening 162 of annular body 160.

As seen in FIG. 3C, with locking member 144 secured within annular body 160, balloon 134 is deflated and tubular sleeve 110, positioning tube 120 and expansion assembly 130 are withdrawn proximally through urethra "U".

5 [']

10

15

20

25

30

The expandable anchor for engaging a body vessel may comprise any expandable structure, including those disclosed in certain embodiments of the following PCT.

Applications, all filed on an even date herewith: application entitled method and apparatus for anastomosis including an anchoring sleeve, invented by Scott Manzo; method and apparatus for anastomosis including an anchor for engaging a body vessel and deployable sutures, invented by Scott Manzo; method and apparatus for anastomosis including annular joining member, invented by Scott Manzo; method and apparatus for anastomosis including an expandable member, invented by Russell Heinrich and Scott Manzo; method and apparatus for anastomosis including an expandable member, invented by Russell Heinrich and Scott Manzo; method and apparatus for anastomosis including an anchoring sleeve, invented by Scott Manzo; method and apparatus for radical prostatectomy anastomosis, invented by Scott Manzo; the disclosures of which are all hereby incorporated by reference herein, in their entirety.

The joining member for joining body vessels may comprise any joining member, including those disclosed in certain embodiments of the following PCT Applications, all filed on an even date herewith: application entitled method and apparatus for anastomosis including an anchoring sleeve, invented by Scott Manzo; method and apparatus for anastomosis including an anchor for engaging a body vessel and deployable sutures, invented by Scott Manzo; method and apparatus for anastomosis including an anchor for engaging a body vessel and deployable sutures, invented by Scott Manzo; method and apparatus for anastomosis including an anchoring sleeve, invented by Scott Manzo; method and apparatus for anastomosis including annular joining member, invented by Scott Manzo; method and apparatus for anastomosis including an expandable member, invented by Russell Heinrich and Scott Manzo; method and apparatus for anastomosis including an expandable member, invented by Russell Heinrich and Scott Manzo; method and apparatus for anastomosis including an anchoring sleeve, invented by Scott Manzo; method and apparatus for anastomosis including an anchoring sleeve, invented by Scott Manzo; method and apparatus for anastomosis including an anchoring sleeve, invented by Scott Manzo; method and apparatus for anastomosis including an anchoring sleeve, invented by Scott Manzo;

WO 2004/000134

5

10

PCT/US2003/011661

method and apparatus for cal prostatectomy anastomosis, invented socott Manzo; the disclosures of which are all hereby incorporated by reference herein, in their entirety.

The methods and apparatus disclosed herein may be used for approximating and/or joining the urethra and bladder, intestinal portions of the body, blood vessels or any other body vessels.

Although the illustrative embodiments of the present disclosure have been described herein with reference to the accompanying drawings, it is to be understood that the disclosure is not limited to these embodiments, and that various other changes and modifications may be affected therein by one skilled in the art without departing from the scope or spirit of the disclosure. All such changes and modifications are intended to be included within the scope of the appended claims.

What is claimed is:

- 1. An apparatus for performing a surgical anastomosis, comprising: a tubular sleeve defining an axial lumen therethrough;
- 5 a positioning tube defining an axial lumen therethrough, the positioning tube being configured and adapted to be slidably received within the axial lumen of the tubular sleeve;

an expansion assembly having a tubular body and an expandable tip operatively coupled to a distal end thereof, the expandable tip having a retracted position in which the expandable tip can pass through the axial lumen of the positioning tube and an expanded position in which the expandable tip can not pass through the axial lumen of the positioning tube; and

an anchoring assembly including:

a flange member having a head portion and an expandable annular body integrally coupled to the head portion, the flange member defining a passage extending through the head portion and the annular body; and

a locking member defining a lumen therethrough, the locking member being configured and adapted to radially deflect the expandable annular body upon insertion of the locking member within the passage of the annular body.

20

10

- 2. The apparatus according to claim 1, wherein the expandable tip of the expansion assembly is a balloon.
- 3. The apparatus according to claim 1, wherein the annular body of the anchoring assembly comprises at least a pair of diametrically opposed longitudinal slots formed therein, wherein the annular body is expandable along the pair of longitudinal slots.
- The apparatus according to claim 3, wherein the annular body of the
 anchoring assembly includes a plurality of protuberances formed on an outer surface thereof.

10

20

30

- 5. The apparation according to claim 3, wherein the annula body of the anchoring assembly has a first radius when not expanded and a second radius, larger than the first radius, when expanded.
- 5 6. The apparatus according to claim 5, wherein the head portion of the flange member has a radius which is larger than the first radius of the annular body.
 - 7. The apparatus according to claim 6, wherein the locking member comprises a cylindrical body having a distal end portion, wherein the cylindrical body has a radius which is larger than the first radius of the annular body and wherein the distal end portion of the cylindrical body tapers down to a radius which is smaller than the first radius of the annular body.
- 8. The apparatus according to claim 3, wherein the longitudinal slots extend through a proximal terminal end of the annular body and terminate at a distance spaced from the head portion.
 - 9. The apparatus according to claim 1, wherein the head portion includes a plurality of protuberances formed on a proximal surface thereof.
 - 10. The apparatus according to claim 1, wherein the head portion includes a tapered distal surface.
- The apparatus according to claim 1, wherein the annular body of theanchoring assembly comprises a plurality of longitudinal slots formed therein.
 - 12. The apparatus according to claim 1, wherein the annular body of the anchoring assembly comprises at least one helical slot extending through the terminal end of the annular body.
 - 13. The apparatus according to claim 1, wherein a proximal end of the locking member is configured and adapted to engage a distal end of the positioning tube.

14. The apparatus according to claim 1, wherein lead portion of the flange member has a radius which is larger than a radius of the lumen of the tubular sleeve.

- 15. The apparatus according to claim 1, wherein the head portion of the flange member has a radius which is smaller than an inner radius of the lumen of the tubular sleeve.
 - 16. The apparatus according to claim 1, wherein the anchoring assembly is made from a bio-absorbable material.

17. A method for performing a surgical anastomosis, comprising the steps of: providing an apparatus for performing an the surgical anastomosis, the apparatus comprising:

a tubular sleeve defining an axial lumen therethrough;

a positioning tube defining an axial lumen therethrough, the positioning tube being configured and adapted to be slidably received within the axial lumen of the tubular sleeve;

an expansion assembly having a tubular body and an expandable tip operatively coupled to a distal end thereof, the expandable tip having a retracted position in which the expandable tip can pass through the axial lumen of the positioning tube and an expanded position in which the expandable tip can not pass through the axial lumen of the positioning tube; and

an anchoring assembly including:

15

20

25

30

a flange member having a head portion and an expandable annular body integrally coupled to the head portion, the flange member defining a passage extending through the head portion and the annular body; and

a locking member defining a lumen therethrough, the locking member being configured and adapted to radially deflect the expandable annular body upon insertion of the locking member within the passage of the annular body;

passing the apparatus through a body lumen and through an opening in a body vessel such that the head portion of the flange member of the anchoring assembly is positioned within the body vessel;

advancing the expansion assembly through the positioning tube such that the expandable tip is within the body vessel;

expanding the excellable tip within the body vessel;

withdrawing the tubular body of the expansion assembly to press the head portion of the flange member of the anchoring assembly against the body vessel and to approximate the body vessel with the body lumen until the annular body of the flange member of the anchoring assembly is positioned within a distal end of the body lumen;

advancing the positioning tube through the tubular body to drive the locking member of the anchoring assembly into the annular body of the flange member and to deflect the annular body radially outward against the inner surface of the body lumen.

10

- 18. The method according to claim 18, further comprising the step of retracting the expandable tip of the expansion assembly.
- 19. The method according to claim 18, further comprising the step of
 withdrawing the tubular body, the positioning tube and the expansion assembly from the body lumen.
 - 20. The method according to claim 19, wherein the surgical anastomosis is a radical prostatectomy.

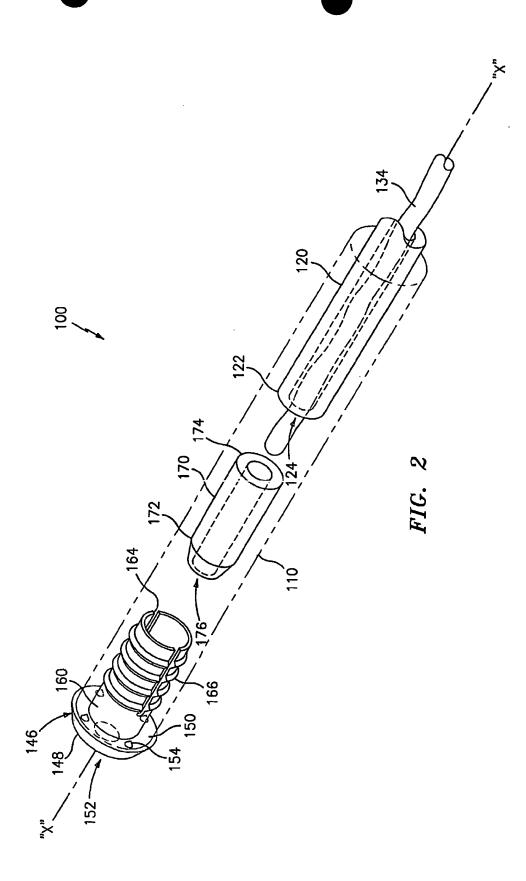
20

- 21. The method according to claim 20, wherein the radical prostatectomy includes the steps of removing the prostate gland from between the urethra and the bladder to define a urethral stump and a bladder neck.
- 25 22. An anchoring assembly for use in a surgical anastomosis procedure, comprising:
 - a flange member having a head portion and an expandable annular body integrally coupled to the head portion, the flange member defining a passage extending through the head portion and the annular body; and
- a locking member defining a lumen therethrough, the locking member being configured and adapted to radially deflect the expandable annular body upon insertion of the locking member within the passage of the annular body.

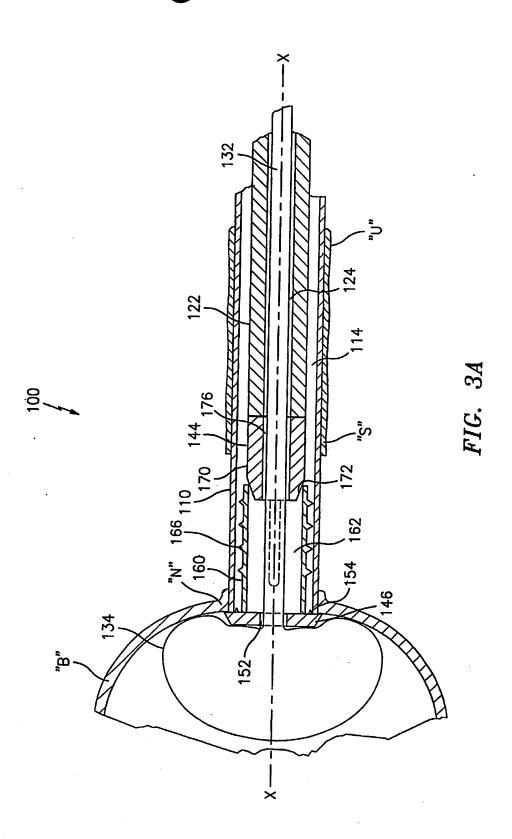
23. We anchoring assembly according to claim wherein the annular body of the anchoring assembly comprises at least a pair of diametrically opposed longitudinal slots formed therein, wherein the annular body is expandable along the pair of longitudinal slots.

5

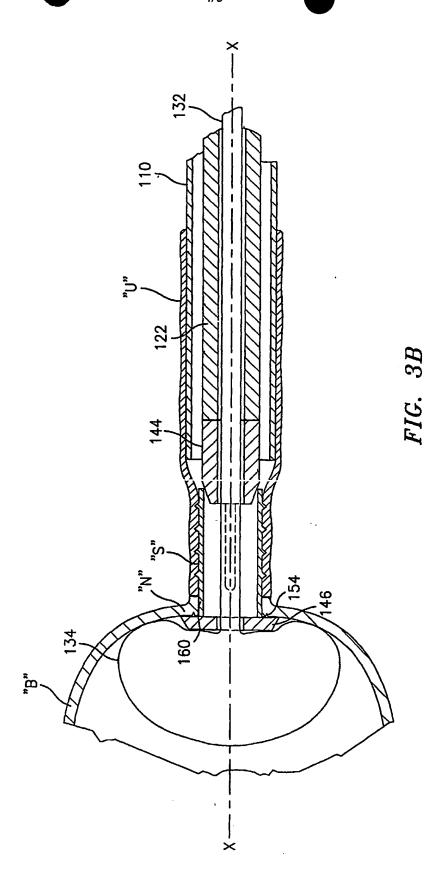
- 24. The anchoring assembly according to claim 23, wherein the annular body of the anchoring assembly has a first radius when not expanded and a second radius, larger than the first radius, when expanded.
- 10 25. The anchoring assembly according to claim 24, wherein the head portion of the flange member has a radius which is larger than the first radius of the annular body.
- 26. The anchoring assembly according to claim 25, wherein the locking member comprises a cylindrical body having a distal end portion, wherein the cylindrical body has a radius which is larger than the first radius of the annular body and wherein the distal end portion of the cylindrical body tapers down to a radius which is smaller than the first radius of the annular body.
- The anchoring assembly according to claim 26, wherein the longitudinal
 slots extend through a proximal end of the annular body and terminate at a distance spaced from the head portion.



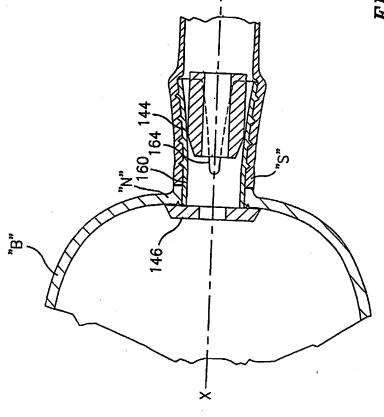
SUBSTITUTE SHEET (RULE 26)



SUBSTITUTE SHEET (RULE 26)



SUBSTITUTE SHEET (RULE 26)



SUBSTITUTE SHEET (RULE 26)

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

☐ BLACK BORDERS
IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
☐ FADED TEXT OR DRAWING
BLURRED OR ILLEGIBLE TEXT OR DRAWING
☐ SKEWED/SLANTED IMAGES
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
☐ GRAY SCALE DOCUMENTS
☐ LINES OR MARKS ON ORIGINAL DOCUMENT
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
OTHER.

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

THIS PAGE BLANK (USPTO)